

## EXHIBIT A

TRANSCRIPT PAGES 386 - 498

REDACTED

REDACTED

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[1] Q: So in your view you cannot measure [2] the resistance of insulators?

[3] A: I think I just explained what I [4] meant, given expensive equipment in principle [5] one can measure resistance of a hundred mega, [6] mega, mega ohms, it doesn't make sense, so [7] theoretically one could do it, but for all [8] practical purposes you wouldn't want to.

[9] Q: You said that ITO is a conductor [10] and you also said it's a semiconductor; correct?

[11] A: I said it's a conductor, a [12] semiconductor that happens to be conductive.

[13] Q: Have you ever seen a standard [14] textbook that refers to the ITO that is [15] commercially sold to LCD manufacturers as [16] anything but a conductor?

[17] A: I'm not sure. I have read many, [18] many articles about ITO that were written based [19] on studies done by physicists and chemist in [20] academia and elsewhere and they all refer to ITO [21] as a semiconductor. In fact, they draw the band [22] diagram that can be measured by ITO and it shows [23] the bottom of the conduction band and the top of [24] the valance band and a band gap of approximately

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[1] 3.75 electron volts, and that's a semiconductor.

[2] Q: And you have not provided any of [3] these treatises in support of your opinion that [4] ITO is a semiconductor?

[5] A: I would be happy to do that, but [6] probably it's not legal to do it at this point, [7] but there are many such articles.

[8] Q: And you said that you could not [9] calculate the resistance in the ITO columns that [10] are found in the guard ring on which you are [11] basing your opinion that CPT's product meets [12] this resistance element in Claim 1?

[13] A: I said it would be difficult to [14]

calculate number one because in reality, you [15] don't necessarily have the exact structure that [16] is depicted in the arrays and in the artwork.

[17] For example, I mentioned that [18] those holes that go through the insulators, when [19] the ITO is evaporated down, it might just stick [20] on the inside of one hole and you only have a [21] very thin path of ITO, so in actuality, you [22] don't know what the actual resistance will be. [23] In principle if you have a flat layer of ITO, [24] you can calculate its resistance.

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[1] Q: Are you saying that you would not [2] be — generally speaking if you assumed that the [3] ITO filled the entire hole, you would be able to [4] calculate that resistance; correct?

[5] A: If you knew the exact geometry of [6] the ITO, you would be able to calculate the [7] resistance.

[8] Q: Are you able to calculate what the [9] maximum resistance would be in one of those ITO [10] holes that fills the ITO holes as you described [11] to the jury in the CPT guard ring?

[12] A: No, because in practicality I [13] don't know. There are many holes there as you [14] pointed out yesterday and I don't know to what [15] degree they're filled with ITO or not, so the [16] maximum is a mega ohm or a hundred mega ohms. I [17] don't know what it would be because in actuality [18] we don't have an actual photograph of how those [19] holes are filled, all we have is the artwork [20] that describes how they ought to look.

[21] Q: So in your view, though, the [22] maximum resistance possible in one of those ITO [23] holes if it were filled is a hundred mega ohms?

[24] A: I didn't say that. If it was

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[1] fully filled with ITO, you could calculate the [2] resistance, and it's a three-dimensional [3] geometry, so you would have to go through some [4] exercise to calculate it, but it would be [5] calculable.

[6] Q: And do you have any idea what that [7] would be?

[8] A: I haven't done the calculation.

[9] Q: It wouldn't be a hundred mega [10] ohms, would it?

[11] A: If it were filled, no, it would be [12] quit a bit less than that.

[13] Q: It would be quit a bit less than [14] even a hundred K ohm; correct?

[15] A: It might very well be.

[16] Q: In fact, it would be substantially [17] less than 10 K ohms; correct?

[18] A: You're asking me for numbers, and [19] in the reality I can't really say what those [20] numbers are. I think the point is that it's a [21] magnitude of resistance and the way Chunghwa [22] makes their products to solve the problem of [23] providing that resistance.

[24] THE COURT: This is a good time to

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[1] take our afternoon recess for fifteen minutes.

[2] MS. CORBIN: Okay.

[3] Jury leaving the courtroom at [4] 3:08 p.m.)

[5] THE COURT: All right. We'll be [6] in recess for fifteen minutes.

[7] (A brief recess was taken.)

[8] THE REPORTER: All rise.

[9] THE COURT: All right. The jury's [10] on its way in.

[11] Jury entering the courtroom at [12] 3:31 p.m.)

[13] THE COURT: All right. Be seated, [14] please.

[15] BY MS. CORBIN:

[16] Q: Hi, Mr. Schlam. I want to stop [17] where we are for a moment and have you refer to [18] your Exhibit 5 from your infringement report, [19] which was a listing of accused CPT modules, and [20] an indication of the claims that you believed [21] they infringe. And I just want to clarify this, [22] because Mr. Cobb relies on your Exhibit 5 in his [23] damages report.

[24] So it is your opinion that the

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[1] modules listed — CPT modules listed next to [2] reference Numbers 1 through 14, 17, and 39 would [3] be on the next page, do not infringe either [4] Claim 1 or Claim 8; correct?

[5] A: That's correct.

[6] Q: And it is also your opinion that [7] the modules, the CPT modules listed at reference [8] Numbers 18 through 22, 24 through 25, 27, 30, 39 [9] to 40 and 42 to 43, which would be on this page, [10] do not infringe Claim 8; correct?

[11] A: Correct.

[12] Q: And some of these reference [13] numbers refer to modules that are meant to [14] indicate an entire series of products; correct?

[15] For example —

[16] A: Yes, according — go ahead.

[17] Q: For example, if you look at Line [18] 21, the 141XC and the 141XF, those are intended [19] to refer to the entire series of modules that [20] start with 141XC; correct?

[21] A: Well, if you look at the chart [22]

Chunghwa specifies (21) the resistance in the TFTs by defining the TFTs (22) and showing the ratio of the channel width and (23) length, that's a specification of the (24) resistance. One can determine the resistance at

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(1) any voltage level given that specification.

(2) Q: And if we look at the first (3) portion of that definition that the Court has (4) provided, a circuit component that has a (5) specified resistance to the flow of electric (6) current, in your view a specified resistance (7) does not require that it is a constant (8) resistance regardless of the voltage level; (9) correct?

(10) A: Yeah. The Court didn't say it has (11) to be constant. It said it had to be specified.

(12) Q: So, in other words, you were (13) construing the term to mean that it would cover (14) anything that you could measure or calculate any (15) device in which you could measure or calculate (16) the resistance at a particular point in time?

(17) A: Any device that had a resistance, (18) yeah. You can't measure a calculator. It would (19) be probably difficult to know what the (20) resistance is.

(21) Q: And under your definition, you can (22) specify the resistance for any electrical (23) component; right?

(24) A: Well, some electrical components

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(1) just don't have resistance. Super crude metal (2) does not have resistance.

(3) So there are some components that (4) don't have resistance. Metals, conductors (5) semiconductors all have resistance.

(6) Q: So just to be clear, in your view, (7) the meaning of a specified resistance means that (8) it can include components that have a range of (9) resistances at various different voltages?

(10) A: Sure. Again, Chunghwa specifies (11) the resistance of their TFTs on those array (12) specifications that we showed. It says (13) specification.

(14) It says TFT resistance.

(15) Q: Well, you're not making — basing (16) your conclusion about CPT's product being a (17) resistance based on a label that says TFT (18) resistance, are you?

(19) A: I drew that opinion beforehand. (20) But the Chunghwa specification specifies the (21) resistance.

(22) So even Chunghwa, I think, agrees.

(23) Q: In fact, the way in which CPT (24) specifies its diodes is only by width and by

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(1) length; isn't that correct?

(2) A: Yes. That's how most people do (3) it.

(4) Q: And that's true — you've seen all (5) the specifications for all the CPT products; (6) correct, that you have accused of infringement?

(7) A: Yes. I've seen all the array (8) specs, yeah.

(9) Q: And every one of those specs (10) indicates that the only way in which CPT (11) specifies its diode is by width and length?

(12) A: I think so. Yes. (13) And that does determine the (14) characteristics of a diode.

(15) Q: And it is true, isn't it, that if (16) you only specified the width of and the length (17) of a diode, you cannot know for certain that at (18) any given voltage what the resistance is going (19) to be?

(20) A: Well, there's more to a full (21) specification. If you were designing a diode, (22) you need to know thicknesses and nature of the (23) materials. You need to know a lot more.

(24) And you see in the Chunghwa array

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(1) specification, they put the indication of width (2) to length, because it gives them a good (3) indication of what that resistance is going to (4) be.

(5) You can't necessarily calculate it (6) to the — to the hundredth order. But it's not (7) important to be able to do that.

(8) In fact, they designate the width (9) and length for the inner guard ring TFT and for (10) the outer guard ring TFT. And those width and (11) length ratios are different.

(12) So they're specifying different (13) resistances for the inner guard ring and the (14) outer guard ring.

(15) Q: You're saying they specify (16) different widths and lengths for the diodes that (17) are in the inner guard and the diodes that are (18) in the outer guard?

(19) A: Typically, yes.

(20) Q: But I agree that if you only (21) specified the width and length, you cannot know (22) for certain at any given voltage what the (23) resistance of that diode is going to be?

(24) A: Well, again, this is an array

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(1) specification. It is not a complete detailed (2) specification of every component.

(3) It gives the designer an idea of (4) what's there. It shows the different materials, (5) the thicknesses of the materials.

(6) You know, it doesn't give the (7) exact dimension, but it gives the designer an (8) understanding that the resistance of this diode (9) is going to be higher than the resistance of (10) that diode.

(11) Q: I'd like to read from your (12) deposition at Page 161 to Page 162, Line 3.

(13) "Question: But if you only (14) specified the width and the length, you cannot (15) know for certain that any given voltage, what (16) the resistance is going to be?

(17) "Answer: Just those two numbers (18) will not give you — is not enough to give you (19) the resistance. Sorry, the exact resistance.

(20) A: I think that's what I just said, (21) yes.

(22) Q: And you consider the word, (23) referring back again to the Court's claim (24) construction order, and the second part of that,

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(1) the circuit component that has a specified (2) resistance to the flow of electric current and (3) is used to minimize the current surge from (4) electrostatic discharge.

(5) In forming your infringement (6) opinion, you believe and apply that the meaning (7) to the word minimize, that it — the electric (8) current — sorry. Strike that.

(9) When considering your infringement (10) opinion, and applying this definition, you (11) understood the word minimize to be reduce; (12) correct?

(13) A: Yes. And as I pointed out (14) earlier, it means reduce or minimize at the TFT (15) that's being protected. So it minimizes, so (16) that a TFT that is undergoing an electrostatic (17) charge will not be damaged.

(18) It has to reduce the current that (19) would go through that TFT. That's why they're (20) all interconnected to disperse that current, to (21) reduce it at that location where the damage can (22) occur.

(23) Q: And any component will reduce the (24) current when electric — when electricity is

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(1) flowing through it; correct?

(2) A: Say that again, please.

(3) Q: In other words, every circuit (4) component has a resistance; right?

(5) A: Not every circuit component. The (6) circuit components we're talking about, (7) conductors, resistors, semiconductors, all have (8) a resistance. Yes.

(9) Q: So insulators also have a (10) resistance; correct?



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[1] Q: On cross-examination, counsel [2] suggested that there was some connection between [3] the diodes that you left out of the drawing. Do [4] you recall that?

[5] A: Yes.

[6] Q: Is there a connection between [7] these diodes that you omitted from this figure?

[8] A: Actually the connection is made [9] through the outer ring on this area.

[10] Q: And there is no separate [11] connection between the diodes other than through [12] the outer guard ring as you just pointed to with [13] the pointer?

[14] A: In the drawing, possibly you can [15] tell that some other layers are joined together. [16] But the way that I put it in the simple [17] explanation is to show that this way is the most [18] complete way.

[19] MR. KRAMER: Thank you very much. [20] No further questions.

[21] THE COURT: All right. Thank you. [22] You may step down.

[23] MR. RHODES: Your Honor, as our [24] next witness, we call Mr. Youngwoo Cho. And

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[1] this will be a short videotape deposition.

[2] Mr. Cho is an LPL employee.

[3] (Beginning of video excerpt:)

[4] Q: Does the patent team conduct [5] regular patent searches of competitors?

[6] A: It does not.

[7] Q: Has the patent team ever analyzed [8] any patents owned by a competitor?

[9] A: Yes, but it was in the past, on a [10] number of occasions.

[11] Q: Mr. Cho, I'd like you to use that [12] piece of paper, the same piece of paper, I [13] haven't written anything on it, to draw a [14] diagram how LPL's products connecting the outer [15] ring to the gate lines, I ask you to draw the [16] diagram according to your understanding in as [17] much detail as possible that reflects a coupling [18] between the outer guard ring and the gate line [19] in LPL products.

[20] A: I'm not good at drawings, but I [21] will give it a try.

[22] Q: Go ahead.

[23] A: This is my understanding and this [24] is the gate lines and this is the guard ring and

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[1] this is the resistance, via resistance this is [2] connected like this.

[3] Q: Can you mark the gate lines with [4] gate lines?

[5] A: In English?

[6] Q: English, yes. [7] Can you mark the resistance with [8] resistance?

[9] MR. LI: All right. Let's mark [10] that as Cho Exhibit 5.

[11] Q: Mr. Cho, I see a line connecting [12] four gate lines together. Does that mean all [13] the gate lines connect together by that line?

[14] A: Which one were you referring to?

[15] Q: I see four gate lines on Exhibit [16] 5; correct?

[17] A: Yes.

[18] Q: And there's a horizontal — [19] there's a line, let's mark this line with the [20] letter A so we can talk about it. Can you mark [21] that line with the letter A?

[22] Does line A connect all the gate [23] lines together in LPL products?

[24] THE WITNESS: Yes, but my thinking is

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[1] that these are connected based on my engineering [2] experience, but it does not relate to any legal [3] interpretation.

[4] Q: And you have testified you do not [5] know the structure of resistance on Exhibit 5; [6] correct?

[7] A: Correct. I told you that I don't [8] have specific or clear recollection of that.

[9] Q: Is line A a line of conductive [10] material?

[11] A: Yes.

[12] Q: What's the reason to connect all [13] the gate lines with line A?

[14] A: My understanding is that there are [15] two reasons: First reason is that if the [16] electrostatic occurs, these lines are there to [17] distribute and discharge the said electrostatic. [18] And number two reason is that this is for the [19] purpose of testing so that we could apply a [20] voltage to this line and use this is a — for [21] testing purposes.

[22] Q: So for testing purposes, if you [23] apply a voltage on one gate line, that voltage [24] will be applied to all the gate lines; correct?

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[1] A: As far as I understand that, yes. [2] That is correct.

[3] Q: Does LPL use a similar [4] configuration as Exhibit 5 for its source line [5] coupling to the outer guard ring?

[6] A: Yes.

[7] (Conclusion of videotape excerpt:)

[8] MR. RHODES: Your Honor, we move [9] into evidence DTX 001.

[10] THE COURT: All right. It will be [11] admitted subject to anybody's objection.

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[1] designation or yours?

[2] MR. BONO: It was in your [3] designation.

[4] MS. CORBIN: Okay. [5] I apologize, Your Honor. Can we [6] just read the few lines into the record?

[7] THE COURT: Sure. Yes.

[8] MR. BONO: I would represent that [9] this testimony was prior to Mr. Cho making the [10] drawing —

[11] MS. CORBIN: Okay.

[12] MR. BONO: — that was shown.

[13] MS. CORBIN: Okay. So the [14] question was: "Correct, Mr. Cho?"

[15] "Answer: I don't know to what [16] level of detail you want me to draw this [17] diagram. And I don't know whether this would be [18] accurate or not. But based on what I heard from [19] engineers, the engineers within our company, the [20] outer guard ring is connected to the gate lines [21] via resistance. And solely based on that, I [22] don't know how accurately I can draw this [23] diagram."

[24] THE COURT: All right. Thank you.

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[1] MR. RHODES: Your Honor, our next [2] witness is going to be a video of Scott [3] Holmberg. Scott Holmberg is the listed inventor [4] on the '002 patent.

[5] And as an additional matter, Your [6] Honor, we do have one demonstrative, which is [7] just Claim 1 of the patent that we'd like to put [8] up over here while we play this deposition, so [9] that they can refer to that.

[10] THE COURT: Sure. You can do [11] that.

[12] MR. RHODES: It is going to be [13] about two hours, and we apologize for that, but [14] he's not available and we need to put this into [15] evidence.

[16] (Beginning of video excerpt.)

[17] THE VIDEOGRAPHER: This is the [18] digital videotape deposition of Scott H. [19] Holmberg, being taken on behalf of

[9] Q: Can you get the same functionality [10] from your outer guard ring invention if you [11] replaced the resistor with a switching element?

[12] A: As I just stated, that — my gut [13] reaction is I could put a switching element out [14] there or a diode and make it work. But I'd have [15] to do a full analysis on that panel, you know, [16] to make sure that you could do that, and it may [17] have some limitations.

[18] When you say "a switching [19] element," or "a diode," it may have some [20] constraints on it, you know, because of the high [21] voltage.

[22] What you don't want to do is end [23] up shorting that out or blowing that out, so it [24] may work, but, you know, it may have to be

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[1] designed to work.

[2] Again, that — you'd have to do an [3] analysis on that to see if it could be done.

[4] Q: Did you assist in the preparation [5] of your patent application for the '002 patent?

[6] A: Yes.

[7] Q: And did you review the claims that [8] were submitted with your patent application to [9] the patent office in the initial application?

[10] A: Yes, I read through them.

[11] Q: Perhaps, we could just look at [12] Claim 1 of your '002 patent, which is Exhibit [13] 106. It is column eight — I'm sorry — the [14] bottom of column eight.

[15] A: Okay.

[16] Q: Right. But the — the reason that [17] you interconnect substantially all of said row [18] lines to one another and substantially all of [19] the column lines to one another is to attempt to [20] protect each of the pixels from electrostatic [21] discharge.

[22] A: That is one of the reasons.

[23] Q: What are the other reasons?

[24] A: Testing of — testing.

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[1] Q: And could you explain that?

[2] A: You know, whether you hook them in [3] serpentine or you hook them in all parallel on [4] one side, you want to be able to use the minimum [5] number of contacts to drive the display so that [6] you can test it to make sure that there is no [7] shorts or open lines in a display.

[8] Q: And where you interconnect [9] substantially all of the row lines to one [10] another and substantially all of the column [11] lines to one another, you in fact only need two [12] contacts in order to test the entire array; is [13] that right?

[14] A: With two contacts, you could test

[15] if there is any shorts in the panel. You would [16] need other contacts to test for any opens in a [17] display.

[18] Q: And when — what do you mean by [19] "any shorts in the panel?"

[20] A: Any areas where you may have a [21] short or a very low resistance between the row [22] line and the column line.

[23] Q: And what —

[24] A: That could be through the source,

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[1] you know, to the gate of the transistor or a [2] crossover point of the row line and the column [3] line.

[4] Q: And if you refer to the Exhibit [5] 105 which is the one with the two schematics, if [6] you look at the configuration on the lower [7] portion of the page, is it possible — if you [8] assume that the source lines are connected to [9] the outer guard ring in the same method as the [10] gate lines are illustrated here, is it possible [11] to check for any shorts in the array using only [12] two contacts?

[13] THE WITNESS: I don't think there's [14] enough information there to — without seeing [15] the whole panel represented, I couldn't tell.

[16] THE WITNESS: I — you say a short, I [17] only see gate lines. Are you saying short — [18] gate to gate short? Column to gate short?

[19] (Interruption of videotape [20] excerpt:)

[21] MR. RHODES: Your Honor, can we [22] take a brief recess while we figure out the [23] problem with this?

[24] THE COURT: Sure. We'll take a

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[1] recess while we get the technical aspects worked [2] out.

[3] (Jury leaving the courtroom at [4] 2:16 p.m.)

[5] THE COURT: All right. We'll be [6] in recess. You'll let my law clerk know?

[7] MR. RHODES: Yes, Your Honor.

[8] THE CLERK: All rise.

[9] (A brief recess was taken.)

[10] THE CLERK: All rise.

[11] THE COURT: All right.

[12] MR. RHODES: Your Honor, I think [13] Ms. Corbin would like to address the matter that [14] came up this morning just for clarification.

[15] MS. CORBIN: Particularly, Your [16] Honor, be seated, please. No, not you, [17] Ms. Corbin. You're on.

[18] MS. CORBIN: All right. Following [19] instructions.

[20] Okay. I'm particularly concerned [21] about the letter, and I don't know if we

need to [22] clarify, but I didn't want to have to interrupt [23] the jury again since we just interrupted them.

[24] Now, with respect to Dr. Howard's

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[1] testimony, as it relates to what is not — [2] what's characterized as a reargue of the claims [3] construction, but which in reality is foundation [4] and testimony regarding infringement under the [5] Doctrine of Equivalents, and this has to do with [6] the function of function, way, result test as [7] applied to the inner-connecting requirement, [8] which is Step 3 of Claim 1.

[9] I think, as we've seen in the [10] testimony that's been shown so far from [11] Mr. Holmberg, that even the inventor says the [12] function interconnecting step was this testing. [13] And the nature of that testimony is definitely [14] relevant to Dr. Howard's Doctrine of Equivalents [15] analysis for that step, Step 3 of Claim 1.

[16] And particularly, you know, the [17] '222 patent that they mention here, even as Your [18] Honor acknowledged in Footnote 1 of the [19] memorandum opinion, is incorporated by reference [20] in the inherent part of the specification of the [21] '002 patent. So that was one of the issues, and [22] I just want to make sure that we do plan to [23] proceed with that testimony.

[24] And then the second point had to

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[1] do with this going through the claims on the [2] dichotomy of the usage of the terms resistance [3] and shunt switching element as it is described [4] both in the specification and the claims, [5] because it is plaintiff's contention, through [6] Dr. Schlam, that the term resistance, even as [7] defined by Your Honor in Claim 1, encompasses [8] shunt switching elements.

[9] And it is our aim to show that, in [10] fact, that is entirely inconsistent with the [11] whole intended purpose and all the language [12] throughout the specification in the claims that, [13] without exception, the way in which it talks [14] about the gate lines and source lines being [15] coupled to the outer ring, the term resistance [16] is always used.

[17] And when it talks about the [18] coupling of the gate lines and the source lines [19] to the inner ring, shunt switching element is [20] always used.

[21] MR. BONO: Am I being charged time [22] for this?

[23] THE COURT: No, it's their time.

[24] MR. BONO: Thank you. I thought

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[1] the Court already ruled on this, and in



lawyer I was against [8] said that he didn't want to argue the settlement [9] of other parties to the liability issue of my [10] plaintiff. And I asked to have all reference to [11] any settlement discussion excluded, but the [12] judge thought it was important immediately to [13] make a decision, and to allow him to talk about [14] it for purposes of aligning the parties, and the [15] testimony came in about settlement, it didn't [16] look so bad, but in the closing argument, what [17] the lawyer did was say, there was things done [18] wrong here and somebody is liable and some [19] people have already paid for that. It sounded [20] real close to me like a violation of 408. I [21] didn't get upset, I just objected. And there [22] are appellant courts even after the post trial [23] motions that will take care of all of this.

[24] What I'm trying to do is let you

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[1] try the case the way you want unless you are in [2] a — unless you're offering something that's so [3] obvious that it's like you got to stop the [4] beating because it's occurring right in front of [5] them, but the kind of subtle issues you're [6] arguing, they're kind of by hypothesis or [7] speculation, you may not be doing anything [8] Mr. Bono is saying.

[9] So what I'm trying to convince [10] you, I'm not trying to dissuade you, I'm trying [11] to tell you what the ruling is again, what his [12] argument is, and what the penalty is if it's [13] later found out in the context of this whole [14] trial that you didn't get my order. Okay?

[15] We're going to bring the jury in.

[16] Jury entering the courtroom at [17] 2:47 p.m.)

[18] THE COURT: All right. I think I [19] have fixed all the technical problems. I have a [20] vast background about this machinery and we [21] should be able to move on from here. And I [22] appreciate your patience as to do parties and [23] the counsel. Thank you very much.

[24] All right. You want to start it

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[1] up again.

[2] MR. RHODES: Yes, Your Honor. [3] Thank you for your help.

[4] THE COURT: No problem.

[5] (Videotape Testimony.)

[6] A: With two contacts, you could test [7] if there is any shorts in the panel. You would [8] need other contacts to test for any opens in a [9] display.

[10] Q: And when — what do you mean by [11] "any shorts in the panel"?

[12] A: Any areas where you may have a [13] short, or a short, or a very low resistance [14] between the row line and

the column line.

[15] Q: And what —

[16] A: That could be through the source, [17] you know, to the gate of the transistor or a [18] crossover point of the row line and the column [19] line.

[20] Q: And if you refer to the Exhibit [21] 105, which is the one with the two schematics, [22] if you look at the configuration on the lower [23] portion of the page, is it possible — if you [24] assume that the source lines are connected to

Page 1350

[1] the outer guard ring in the same method as the [2] gate lines are illustrated here, is it possible [3] to check for any shorts in the array using only [4] two contacts?

[5] THE WITNESS: I don't think there's [6] enough information there to — without seeing [7] the whole panel represented, I couldn't tell.

[8] THE WITNESS: I — you say a short, I [9] only see gate lines. Are you saying short — [10] gate to gate short? Column to gate short?

[11] I don't — there's not enough [12] information.

[13] Q: I'm using short in the same [14] context that you were using it in the answer [15] just above where you stated, "any areas where [16] you may have a short or low resistance between [17] the row line and the column line".

[18] A: Okay. But that's not represented [19] on this diagram.

[20] Q: What else would you have to know [21] to answer that question?

[22] A: We'd have to see how the columns [23] were hooked together. All I see here is row [24] lines.

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[1] Q: Well, I — my question presumed [2] that the row lines were connected to the outer [3] guard ring in exactly the same way as the gate [4] lines are depicted here.

[5] A: There's no column lines here. I [6] don't know how you could test this to — and [7] determine if there's any shorts in the panel [8] without column lines and showing how they're [9] hooked together.

[10] Q: When you say how they're connected [11] together, you mean the source lines to the outer [12] guard ring?

[13] A: Yeah, and what their relation is, [14] how — how they're hooked together. Are they [15] hooked together?

[16] Q: So I'm asking you to assume that [17] the gate — the source lines are connected to [18] the outer guard ring in exactly the same way as [19] these gate

lines are depicted, which is each [20] gate line and each source line is individually [21] connected to the outer guard ring through a [22] diode.

[23] A: The way you stated, you couldn't [24] test it.

Page 1352

[1] Q: And why is that?

[2] A: Because everything would be [3] shorted — or basically shorted out.

[4] Q: Meaning shorted to the — to the [5] outer guard ring?

[6] A: Yes.

[7] Q: So if you wanted to test any line, [8] gate line or any source line, you could only [9] test line by line, is that it?

[10] THE WITNESS: I would have to study [11] this, but I can't see how you could test it.

[12] THE WITNESS: Yeah. I don't see how [13] you could test it.

[14] Q: Under that scenario, meaning each [15] gate line separately and each row line [16] separately?

[17] A: Right. What you just described, [18] you couldn't test it —

[19] Q: Well, in any event —

[20] A: — without probing every line, [21] because there would be a sneak path.

[22] Q: Right. In order to test it, you [23] would have to probe each line independently [24] using the configuration I described?

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[1] A: Yes.

[2] Q: In Step 4, which states, "forming [3] an outer electrostatic discharge guard ring on [4] said substrate coupled with said interconnected [5] row and column lines via a resistance to provide [6] protection from electrostatic discharges between [7] said row and column activation lines during [8] manufacture of the displays".

[9] If we refer, again, to Exhibit 105 [10] and to the schematic that is on the upper [11] portion of the page, it has an outer [12] electrostatic discharge guard ring; correct?

[13] A: Okay. Which claim was that, [14] again?

[15] Q: We're in Claim 1, Step 4, which is [16] at the top of the page, Column 9.

[17] A: Okay.

[18] Q: Not in the first element, but on [19] that page, the second one that starts, "forming [20] an outer electrostatic discharge guard ring".

[21] A: Okay.

[22] Q: And I'm going to refer to that [23] whole element up to the point where it says, [24] "removing said outer guard ring"

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[1] see that — it says, "In the course of this [2] engagement, our firm and you may identify areas [3] for which we will require your services," do you [4] see that, the first paragraph?

[5] A: Yes.

[6] Q: It says, "such as providing expert [7] advice and consultation regarding —" and it [8] identifies a couple of topics; first, [9] conception, reduction to practice, and [10] interpretations of U.S. Patent Number 102 — the [11] '002 patent. You counter-signed this agreement [12] thereby agreeing to provide these services for [13] McKenna, Long & Aldridge in connection with its [14] representation of LPL in this litigation; right?

[15] A: Yes.

[16] Q: Now, I see that you're being paid [17] a sum of \$350 an hour for the services that you [18] provide to LPL's counsel in connection with this [19] litigation; correct?

[20] A: Yes.

[21] Q: That includes your — the [22] testimony that you are giving here today; right?

[23] A: Yes.

[24] Q: It includes the time you spent

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[1] preparing for your depositions in this case; [2] right?

[3] A: Yes.

[4] Q: Exhibit 118 is a letter confirming [5] that the McKenna, Long & Aldridge firm is acting [6] as your lawyer in connection with your [7] deposition in this case; right?

[8] A: Yes.

[9] Q: Now, are you paying McKenna, Long [10] & Aldridge's fees for their representation of [11] you in this case?

[12] A: No.

[13] Q: As stated in paragraph two on the [14] first page of this Exhibit 118, LPL — it says, [15] "LPL will pay your legal fees and costs in [16] connection with this representation on your [17] behalf," right? So LPL is paying McKenna, Long [18] & Aldridge's legal fees in connection with its [19] representation of you in this case; right?

[20] A: Yes.

[21] Q: And you have no responsibility for [22] paying McKenna, Long & Aldridge's fees for [23] representing you as your attorney in this case; [24] right?

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[1] A: No.

[2] Q: Are transistors switching [3] elements?

[4] A: Yes.

[5] Q: What's your understanding of the [6] meaning of a switching element?

[7] A: A switching element —

[8] Q: Sorry to interrupt you. I mean, [9] of course, within the meaning of your patent, [10] the invention that you patented.

[11] Please, go ahead.

[12] A: A switching element is a device [13] that normally has high impedance at normal [14] operating voltages and low impedance under high [15] potential across it.

[16] Q: So, in your view, a diode is a [17] switching element within the meaning of the [18] invention in your '002 patent?

[19] A: Yes.

[20] Q: When the resistor's resistance is [21] high, it will be a poor electrostatic discharge [22] shunt; right?

[23] A: Can you define "high resistance"?

[24] Q: Probably not as well as you can,

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[1] but what is your understanding, if we were [2] talking about how you would have to have high [3] resistance to isolate the guard ring, what did [4] you have in mind? What — what range?

[5] A: It would have to be, you know, [6] very high the way we used it. I can't give you [7] an exact number, but it would — it would [8] probably be — I'm just guessing off the top of [9] my head, ten to eight ohms since you have many, [10] many of these in parallel.

[11] Q: Mr. Holmberg, do you generally [12] agree that materials used in thin-film [13] processing generally fall into three categories [14] according to their resistivity to charge flows, [15] conductors, insulator, and semiconductor?

[16] A: Can you state that again? I'm [17] sorry.

[18] Q: Certainly. [19] Would you agree that materials [20] used in thin-film processing generally fall into [21] three categories according to their resistivity [22] to charge flows, the three categories being [23] first conductors; second, insulators; and third [24] semiconductors?

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[1] A: Yes.

[2] Q: What are typically conductor [3] materials?

[4] A: Generally metals, aluminum, binary [5] metals like nichrome, copper.

[6] Q: ITO?

[7] A: ITO.

[8] Q: What are typical semiconductor [9] materials — well, what are typical insulator [10] materials?

[11] A: Silicon dioxide, silicon nitride, [12] anodic oxide, titanium oxide.

[13] Q: And what are typical semiconductor [14] materials?

[15] A: Germanium silicon, germanium [16] silicon alloys, gallium, arsenide, just to name [17] a few.

[18] Q: Is chromium an insulator?

[19] A: Chromium is a conductor.

[20] Q: Is chromium a semiconductor?

[21] A: No.

[22] Q: Is silicon a conductor material? [23] Have you ever heard anyone refer to silicon as [24] being a conductor material?

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[1] A: No.

[2] Q: Conductor and semiconductor [3] materials, these are different materials; right?

[4] A: There could be a close overlap [5] with some materials.

[6] Q: But these are two different [7] categories of materials; right?

[8] A: Yes, generally.

[9] Q: In a liquid crystal display [10] product, you cannot replace amorphous silicon [11] with conductor material, can you?

[12] A: I'm sorry. Repeat that again.

[13] Q: Yes. In an LCD product, in a [14] liquid crystal display product, you cannot [15] replace an amorphous silicon with a conductor [16] material; right?

[17] A: It depends what the application [18] is.

[19] Q: Well, conductors and [20] semiconductors in LCD products are not the same; [21] right?

[22] A: No.

[23] Q: In a TFT, if you replace the [24] amorphous silicon, the semiconductor material

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[1] with a conductor material, such as aluminum, the [2] TFT would not work; right?

[3] A: That is correct.

[4] Q: And, likewise, if you replace a [5] conductor material in a TFT with a semiconductor [6] material, then the TFT would not work; right?

[7] A: I wouldn't say that's true all the [8] time.

[9] Q: But, generally, that's — that's [10] true, though; right?

[11] A: You could have a highly-doped [12] semiconductor material act as a source drain [13] material.

[14] Q: So, generally, in an LCD product, [15] you cannot replace a conductor



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material like — (16) like chromium with a semiconductor material such (17) as amorphous silicon?

(18) A: Generally not.

(19) Q: Conduct — and that's because (20) conductors and semiconductors are not (21) interchangeable in LCD products; right?

(22) A: Again, yeah, I think you have to (23) be more specific for what applications. Like I (24) said, sometimes there are some overlap depending

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(1) on what the exact application is.

(2) Q: But, as a general matter, that — (3) that's — that's probably an understood (4) principle in thin-film processing; right?

(5) A: Yes.

(6) (End of videotape testimony.)

(7) MR. RHODES: Your Honor, at this (8) time we move into evidence DTX 8, 12, 14, 15 and (9) 16.

(10) THE COURT: All right. They'll be (11) admitted subject to a later objection.

(12) MR. RHODES: And Your Honor, our (13) next witness is Dr. Webster Howard.

(14) MS. CORBIN: Good morning, (15) Dr. Howard.

(16) THE CLERK: Please state and spell (17) your full name for the record.

(18) THE WITNESS: Webster E Howard.

(19) THE CLERK: Could you spell that, (20) please.

(21) THE WITNESS: First name, (22) W-E-B-S-T-E-R. Middle initial E H-O-W-A-R-D.

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(1) WEBSTER E. HOWARD, Ph.D., (2) the deponent herein, having first (3) been duly affirmed on oath, was (4) examined and testified as follows:

(5) DIRECT EXAMINATION

(6) BY MS. CORBIN:

(7) Q: I'd like to start this afternoon, (8) Dr. Howard, with explaining some of your (9) credentials and experience, your educational (10) background.

(11) A: Yes.

(12) Q: Starting first with your (13) educational background. Could you tell the jury (14) what that is?

(15) A: Yes. I received a bachelor's (16) degree in physics from Carnegie Mellon (17) University. And I received both a master's (18) degree and a Ph.D. in physics from Harvard (19) University.

(20) Q: When you were receiving your Ph.D. (21) at Harvard, were you specializing in any (22) particular aspect of physics?

(23) A: Yes, I was specializing in (24) semi-

conductor business.

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(1) Q: And in what year did you receive (2) your Ph.D.?

(3) A: In 1962.

(4) Q: After you completed your education (5) by getting your Ph.D., did you perform any (6) military service?

(7) A: Yes, I did. When I was at (8) Carnegie Mellon, I was in the reserve officer (9) training core. And so after my education was (10) finished, I entered the Army.

(11) Q: And what was your role in the (12) Army?

(13) A: Well, I was a signal core officer. (14) And after initial training, I was assigned to a (15) laboratory. And in that laboratory, I was still (16) doing research on semiconductors.

(17) Q: And when did your military service (18) end?

(19) A: In 1961.

(20) Q: And following your military (21) service, what was your first employment?

(22) A: My first employment after military (23) service was I joined the IBM T.J. Watson (24) Research Center.

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(1) Q: What is IBM T.J. Watson Research (2) Center?

(3) A: Well, the Watson Research Center (4) is kind of a think tank within IBM. The whole (5) lab is staffed and managed by scientists and (6) engineers.

(7) And it was set up to give those (8) people quite a bit of freedom to explore (9) innovative technologies that could be of use to (10) IBM for future products as well as present (11) products.

(12) Q: And were you employed at IBM for (13) 32 years?

(14) A: Yes, I was.

(15) Q: And was the entire period at the (16) T.J. Watson Research Center?

(17) A: It was.

(18) Q: And when you began your work at (19) the IBM T.J. Watson Research Center, what was (20) the nature of your research and work endeavors (21) at that time?

(22) A: Well, when I joined the company, I (23) was in an exploratory technology device group, (24) technology group in semiconductors. The job was

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(1) to develop new devices, new materials for (2) semiconductive devices.

(3) Q: And at some point during your (4) employment with IBM, did your research involve (5) flat panel displays?

(6) A: Yes, it did.

(7) Q: When did that work begin?

(8) A: Well, in 1973, I took a job as a (9) manager of exploratory display project, which (10) initially was mostly involved in plasma (11) displays, which were just beginning to be (12) introduced at that time.

(13) And then as time went on, I got (14) more interested as things began to develop in (15) the outside world, in the liquid crystal (16) displays and active-matrix crystal displays.

(17) There was a gradual transition to (18) that technology ultimately being my total focus.

(19) Q: Is there any relationship to your (20) background in semiconductor physics to your (21) interest in active-matrix LCDs displays?

(22) A: Well, yes. It was kind of natural (23) since I had a lot of experience in (24) semiconductors and semiconductive devices, that

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(1) the idea of using semiconductive thin film (2) transistors to make a really good display was (3) something that I thought where I could attribute (4) to the company.

(5) Q: And what was your role in IBM's (6) getting involved in the active-matrix LCD (7) display area?

(8) A: Well, I was the one that really (9) started the whole thing. I was — I started (10) using every opportunity I could to make the case (11) for this technology.

(12) I was convinced very early that it (13) was going to be quite important. And so I just (14) kept working on colleagues and management until (15) I over the time garnered more and more support (16) to increase the level of activity in that until, (17) you know, ultimately we went forward with it.

(18) Q: And when did IBM make the decision (19) to become actively developed in research and (20) development in active-matrix LCD displays?

(21) A: Well, the decision was made at the (22) top of the corporation in late '85.

(23) Q: And once they made that decision, (24) what was your role in that research and

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(1) development?

(2) A: Well, when the decision was made, (3) it was also made that we should — that research (4) should involve IBM Japan in pursuing it, and (5) that we should seek a Japanese partner. And so (6) I was leading the research effort, and I became (7) then the technical leader of the IBM effort.

(8) Q: And what Japanese company did IBM (9) and IBM Japan partner with in this

the reason — he's talking [19] about the interconnection.

[20] Q: What part of the diagram is he [21] talking about?

[22] A: Oh, this part here. This Line A [23] is what he was referring to as the [24] interconnection.

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[1] Q: The interconnection of what?

[2] A: In this case, all of the gate [3] lines. And he was asked: Is it all the gates [4] lines? And he said, yes.

[5] Q: Okay. And then what did he have [6] to say about the reason for function of the [7] interconnection?

[8] A: Well, he was asked: What's the [9] reason to connect all the gate lines on Line A?

[10] And he said that my understanding [11] is that there are two reasons: The first reason [12] is that if the electrostatic occurs, these lines [13] are there to distribute and discharge the said [14] electrostatic. And number two reason is that [15] this is for the purpose of testing, so that we [16] could apply a voltage to this line and use this [17] for testing purposes.

[18] Question: So for testing, if you [19] apply a voltage on one gate line, that voltage [20] will be applied to all the gate lines; correct?

[21] Answer: As far as I understand [22] that, yes. That is correct.

[23] Q: Okay. Thank you [24] If it was only — if the function

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[1] of the interconnection was merely to provide a [2] conductive path for ESD protection, would there [3] be any need to have an interconnection at all?

[4] MR. GOODWYN: Objection; leading.

[5] THE WITNESS: No.

[6] THE COURT: I'm going to overrule [7] the objection. But you have to be careful not [8] to have the answer in the question.

[9] MS. CORBIN: Okay.

[10] THE WITNESS: No, because there are other things.

[11] Just as the earlier solutions that were shown, you [12] can short all the lines to the outer guard ring [13] directly.

[14] BY MS. CORBIN:

[15] Q: But if you do that, can you test [16] — can you perform this two-point bulk test?

[17] A: No, you can't. But you distribute [18] the charge that way.

[19] Q: And did Dr. Holmberg — what did [20] Dr. Holmberg say was the first thing he tried [21] when he was trying to provide electrostatic [22] discharge pro-

tection?

[23] A: Well, he said the first thing he [24] tried was just shorting everything together, but

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[1] he wanted to be able to test.

[2] Q: And could he do that when he was [3] just shorting all the lines to the guard ring?

[4] A: No.

[5] Q: Does CPT interconnect [6] substantially all of its gate lines?

[7] A: No, they don't.

[8] Q: Does CPT interconnect [9] substantially all of its source lines?

[10] A: No, not at all.

[11] Q: Is CPT able to test any of its [12] products using the two-point bulk test that [13] you've described?

[14] A: No, CPT cannot.

[15] Q: Why not?

[16] A: Because the lines are not [17] interconnected. There's not one point where you [18] can put the same voltage on all the lines.

[19] Q: How does CPT test its products?

[20] A: They test with a different [21] technique, line by line testing. You have to go [22] to each line with two points to determine [23] whether it's continuous.

[24] And you have to go to each line

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[1] and, you know, each set of lines to check [2] crossover points.

[3] Q: And when you talk about each line, [4] for example, I don't think anybody's touched on [5] this. On an average display, they have a [6] 15-inch display. [7] How many gate lines are there?

[8] A: Well, typically, in a 15-inch, you [9] would have 768 gate lines on an XGA display.

[10] Q: What about — how many source [11] lines?

[12] A: That would be 300 — 3,072.

[13] Q: So are you saying that CPT must [14] test each one of those several thousands of [15] lines in each individually?

[16] A: That's what I'm saying.

[17] Q: May I have Defendants' Exhibit 8, [18] please.

[19] Again, referring to Dr. Holmberg's [20] testimony from yesterday, during his testimony [21] he was referring to this figure, particularly [22] the one on the bottom. And do you recall what [23] he said about whether or not using this [24] configuration you would be able to perform the

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[1] two point volt test?

[2] A: Yes, he was asked if you had this [3] configuration and then the same configuration on [4] the source lines, could you test. And he said [5] no, you couldn't.

[6] Q: Okay. Thank you. [7] Now, before we move on, I want to [8] talk to you about schematics and their usage. [9] How are schematics used in electrical [10] engineering fields?

[11] A: Oh, they're just used widely to [12] summarize the electrical characteristics of a [13] circuit.

[14] Q: And if you pick up any electrical [15] engineering semiconductor device textbook, would [16] you find schematics?

[17] A: Oh, absolutely.

[18] Q: Would that same thing be true of [19] reference books?

[20] A: Yes. Yeah. In textbooks, [21] reference books.

[22] Q: Did you find any use of schematics [23] in the prior art that we're going to be [24] discussing later today?

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[1] A: Yes.

[2] Q: May we see slide 55, please. [3] And what do we see here and where [4] is this figure from?

[5] A: This is from an application by [6] Kawamura and he's shown here, for instance, a [7] layout for making diodes and then he illustrates [8] electrically what he's doing up here by this [9] schematic.

[10] Q: And what is shown — what is the [11] schematic up there on the top?

[12] A: It's two diodes, it's the same [13] pair of diodes that we see over and over again [14] in CPT's products.

[15] Q: Now, slide 56. During his [16] deposition, did Dr. Schlam himself make a [17] schematic?

[18] A: Yes, he did. He made this one [19] which we can blow up, in connection with the [20] CPT's diodes connecting a transfer pad to the [21] guard ring and they are the same design that's [22] used on the lines themselves. And so he labeled [23] — he showed them as pairs of diodes and he [24] labeled them as shunt switching elements. And

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[1] he showed the guard ring here as composed of [2] three elements in his view. And then he [3] indicated where he associated the resistance.

[4] Q: Okay. Could we see slide 59, [5] please.

[6] Dr. Schlam during his testimony [7] indicated that there was something misleading [8] about using schematics to

discuss electrical [9] circuits, for example, the CPT products. Do you [10] agree with that?

[11] A: No, I don't.

[12] Q: And again, this is the slide we [13] looked at just a little bit earlier. And did [14] Dr. Schlam agree that these schematics that we [15] see again later today were accurate schematics [16] of the mask files of the two configurations that [17] CPT uses to couple its gate lines and source [18] lines to the outer ring?

[19] A: He did agree.

[20] Q: And did he agree that those were [21] electrically equivalent?

[22] A: Yes.

[23] Q: I want to turn now to the Court's [24] claim construction with respect to the term we

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[1] find in Claim 1, interconnecting. Can we see [2] slide 60, please. And can you explain or tell [3] us what the Court's construction of that term [4] is?

[5] A: The Court determined that the term [6] as used in interconnecting as used in Claim 1 [7] means electrically connecting with conductors.

[8] Q: Does CPT do that?

[9] A: No, CPT does not do that.

[10] Q: Can we see slide 61, please. [11] And what do we see on the two mask [12] files on the upper portion of the slide?

[13] A: Yeah. These are the top — these [14] are top views of the plate and that same [15] microscopic view we talked about earlier. So [16] you're looking down and this shows the diodes, [17] where you see this light blue, that's the [18] undoped amorphous silicon, that's the essential [19] part of the diode.

[20] And down here, we have kind of a [21] see through view to look down at the gate metal [22] just to show that this gate metal is actually [23] gate or source lines, but in this case it's [24] source lines, but they are connected with gate

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[1] metal at this point. So gate metal does not [2] travel, does not connect all the way through to [3] the guard ring. The only connection is through [4] the diodes themselves.

[5] Q: And that's true for every CPT [6] product?

[7] A: And that's true for every CPT [8] product that you have this kind of [9] configuration, where this does not connect [10] directly with this, but only through the diodes.

[11] Q: And again, you mention that the [12] two blue lines traveling through each of the [13] diodes horizontally there, what

type of material [14] is that?

[15] A: That's undoped amorphous silicon.

[16] Q: When you say undoped, you mean?

[17] A: Pure amorphous silicon.

[18] Q: What type of material is pure [19] amorphous silicon?

[20] A: It's a semiconductor.

[21] Q: What is a diode?

[22] A: A diode is like a valve or a gate. [23] In this case the diodes are designed only to [24] conduct when the voltage reaches some particular

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[1] level. Think in plumbing terms, it's a pressure [2] relief valve. It's sitting there not allowing [3] anything to go until you get too much pressure, [4] in this case too much voltage, and then it opens [5] up and current can flow, or water can flow in [6] the pipe case.

[7] Q: Can you show us using the mask [8] files here when an electrostatic discharge would [9] occur, what would happen? What would be the [10] flow of the electric current?

[11] A: Well, when the electrostatic [12] discharge comes, when voltage builds up here, [13] this diode begins to conduct. Let's say it's [14] positive voltage, so the positive voltage would [15] be this diode here. This diode begins to [16] conduct through the silicon through the guard [17] ring, the guard ring is like a storm sewer, so [18] the charge can dissipate around the display once [19] it gets into the guard ring.

[20] Q: So the flow of the charge would [21] come through the gate through the diode to the [22] guard ring. Can you show us again that path?

[23] A: The path would be through the [24] diode to the outer guard ring, and then from the

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[1] outer guard ring it can go around to the rest of [2] the display.

[3] Q: Okay. Could we see 62, please. [4] Do you recall that during [5] Dr. Schlam's testimony he showed a little video, [6] 3D video of in his view what the flow of the [7] electric current would be in the event of an [8] electrostatic discharge?

[9] A: Yes, he did. That's the one shown [10] here.

[11] Q: What is your opinion about the [12] accuracy of the dashed line which he's indicated [13] as the flow of current in the event of an [14] electrostatic discharge?

[15] A: Well, in the event of a discharge, [16] a charge originating here would indeed flow up [17] this way and over

here, and it would go — if [18] that diode started to turn on because this was a [19] very high voltage, then the charge would flow [20] through here. But at that point it's connected [21] to the outer guard ring, so it's going to go out [22] to the storm sewer here, to the outer guard [23] ring.

[24] Q: Why? Why would that be the path

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[1] of the electric current?

[2] A: Because that's the path of least [3] resistance. To go in this direction requires [4] another barrier of voltage to get over this hump [5] to go on in that direction, so there is no [6] reason for the charge to flow here, it will flow [7] directly to the outer guard ring.

[8] Q: What's creating the barrier for [9] the current flowing?

[10] A: This amorphous silicon.

[11] Q: And again, what kind of a material [12] is amorphous, pure amorphous silicon?

[13] A: It's semiconductor, it's not a [14] conductor.

[15] Q: And in the flow the way you have [16] indicated, the pink layer that's here, what type [17] of material is that?

[18] A: That's a conductor.

[19] Q: But —

[20] A: That's source drain metal.

[21] Q: Does the current after [22] electrostatic discharge ever flow in the way [23] depicted here?

[24] A: It could only flow that way if you

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[1] also had a higher voltage here or something like [2] that, and then it would go — then this might be [3] the lowest point, but that's so unlikely, I [4] can't imagine that happening.

[5] Q: Even if you — so as I understand [6] you, you don't agree with the flow that [7] Dr. Schlam has indicated on this slide?

[8] A: No, I don't. No.

[9] Q: But I'm asking you now to assume [10] that for argument sake the flow of current did [11] flow in the manner that he's depicted here, is [12] electrical connections in that case made with a [13] conductor?

[14] A: No, because in that case it still [15] has to go through two semiconductor layers.

[16] Q: Now, we talked about these [17] different type of materials and I think we need [18] to step back and explain that now. So what is [19] the difference between a semiconductor and a [20] conductor?

[21] A: Well, a semiconductor is just a [22]



conform to the definition of the Court.

[9] Q: Okay. And before we explain that, [10] can you tell us why specifying a resistance is [11] important in electrical design?

[12] A: Well, in electrical design, it's [13] always important, because when you specify the [14] resistance, you're going to determine what kinds [15] of current can flow in a given circuit with [16] certain voltages. So it's one of the things [17] that one typically specifies in a circuit.

[18] Q: And can you substitute a diode in [19] a design which calls for a specified resistance?

[20] A: No.

[21] Q: Why not?

[22] A: It's a different component.

[23] Q: Okay. So turning to your opinion [24] as you just stated that a diode is not a

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[1] resistance as defined by the Court, can you [2] explain why that is? And did you prepare a [3] slide to help in assisting you in that?

[4] A: Yes.

[5] MS. CORBIN: Slide 99.

[6] THE WITNESS: Well, the first point is [7] that the diode doesn't have a specified [8] resistance. If you look at the characteristics [9] of a diode, the resistance of the diode changes [10] dramatically.

[11] One of the requirements of the [12] diode is that it's a switch, which means when [13] the voltage is low, it's off. That means the [14] resistance has to be very high.

[15] But then you want it to turn on [16] above some voltage and allow current to flow. [17] So the resistance has to drop rapidly above some [18] voltage for it to work as in the on state of the [19] switch.

[20] So the resistance is changing all [21] along here. And a —

[22] Q: So do I understand you to be [23] saying that as the voltage increases, that the [24] resistance is changing in the diode?

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[1] MR. GOODWYN: Objection; leading.

[2] THE COURT: I'll sustain the [3] objection.

[4] THE WITNESS: Yeah. Well, I [5] thought I just said when you increase —

[6] THE COURT: Doctor, hold on a [7] second.

[8] MS. CORBIN: You sustained the [9] question?

[10] THE COURT: Rephrase your [11] question.

[12] BY MS. CORBIN:

[13] Q: Can you just explain for us, [14]

again, what the relationship is between the [15] resistance, and the diode, and any voltage being [16] applied?

[17] A: Yes. The resistance of the diode [18] will be different for every voltage applied.

[19] So as I said, it's design as a [20] switch. So for higher voltage, it should have a [21] low resistance. For low voltages, it should [22] have a very high resistance.

[23] Q: Okay. And how does that compare [24] to a circuit component that has a specified

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[1] resistance?

[2] A: A circuit component that has a [3] specified resistance will remain the same for [4] various voltages.

[5] Q: Okay. I want to now turn to Slide [6] 100. This is Plaintiff's Exhibit 15, Page 2.

[7] Do you recall Dr. Schlam's [8] testimony regarding this document?

[9] A: Yes, I do.

[10] Q: Is this document that you had seen [11] in your review of materials as you prepared your [12] opinion?

[13] A: Yes.

[14] Q: And what is the document itself?

[15] A: Well, it's part of the [16] specification of the product, the module [17] product. In this case it's the 15XP array.

[18] Q: And did you review the product [19] specifications for each of the accused products [20] here?

[21] A: Yes, I did.

[22] Q: And earlier Dr. Schlam testified [23] that he relied on this document in reaching his [24] opinion that CPT's products do have a specified

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[1] resistance. Was this specification important in [2] the formation of your opinion about whether [3] CPT's products had a resistance?

[4] A: Not really.

[5] Q: Why not?

[6] A: Because when I look at this, it [7] just tells me what is the shape of the [8] transistor to be used in this product, and not [9] — it doesn't say anything about the nature of [10] it except that it's a TFT diode.

[11] Q: How does CPT specify its diodes, [12] the design of its diodes?

[13] A: It specifies them in this way, it [14] specifies the width of the semiconductor in that [15] channel and the distance across the channel [16] designated as L.

[17] Q: And when you're talking about the [18] channel, what are you talking about?

[19] A: If you remember back on the mask [20] files, the blue stripes, these quantities refer [21] to the — in our terms the W and L are inverted [22] in semiconductor technology, but what most [23] people would say is the length of that blue [24] stripe is indicated as W here as let's say 50

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[1] microns, and then L, the distance across that [2] gap is indicated to be five microns. And then [3] the other devices are — in this case it's a [4] wider device.

[5] Q: Could we see, for example, slide [6] 72 so we can show that diode.

[7] So when we're talking about the [8] width and the length as you just discussed it, [9] what are we talking about when we see the [10] picture?

[11] A: Well, this is the width along [12] here. And this is the length. As I say, it's [13] kind of opposite to what most people would say, [14] but that's the way it's done in semiconductors.

[15] Q: And back to slide 100. [16] So in other words, the width and [17] the length, is that a dimensional specification [18] or an electrical specification?

[19] A: It's a dimensional specification.

[20] Q: Is that the same thing, a [21] dimensional specification and an electrical [22] specification?

[23] A: No, not at all.

[24] Q: In your view, is Dr. Schlam's

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[1] reliance on this document to find resistance as [2] defined by the Court appropriate?

[3] A: No, it doesn't make a TFT diode [4] conform just by looking at this document.

[5] Q: And I think when Dr. Schlam was on [6] the stand, he referenced the Chinese characters [7] or Japanese characters that follow the TFT in [8] those lines that have been culled out, and they [9] showed a translation of those characters as [10] resistance, TFT resistance. Does that impact [11] your view as to whether CPT's diodes have the [12] resistance as defined by the Court to be applied [13] in this case to the infringement analysis?

[14] A: No, it doesn't. I'm not a [15] linguist, and in any case, I look at the [16] structure of the device and I know from [17] experience then what the nature of that device [18] is, and it really doesn't matter what they call [19] it even in English, if they show what it is.

[20] Q: And when you actually look at the [21] diode itself, again, is there any specified [22] resistance as defined by the Court?

[23] A: No. In my view the diode does not  
[24] constitute a resistance as defined by  
the Court.

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[1] Q: And just one more time, the width  
[2] and the length from those two dimen-  
sions, are [3] you able to determine — and  
now I'm not talking [4] about resistance  
as defined by the Court, but [5] I'm just  
talking about resistance as a property, [6]  
with just those two dimensions, are you  
even [7] able to know what the resistance  
of the diode [8] is?

[9] MR. GOODWYN: Objection. Leading.

[10] THE COURT: I'm going to overrule  
[11] the objection because it doesn't fully  
implicate [12] the answer, but again, you  
have to be careful.

[13] THE WITNESS: No, it says right [14]  
here, with only that width and length  
channel [15] data, you can't even calcu-  
late the [16] current-voltage relation-  
ship, because you need [17] other in-  
formation.

[18] Q: Just in conclusion, then, in your [19]  
opinion do the CPT diodes meet the  
resistance [20] limitation of Claims 1 and 8  
when you define [21] resistance as the  
Court has provided?

[22] A: They do not.

[23] Q: So I want to turn now to [24] Dr.  
Schlam's second theory of how one  
might find

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[1] the resistance in the CPT product. And  
do you [2] recall that his second theory is  
that the [3] resistance can be found in the  
ITO, the indium [4] tin oxide found in the  
outer guard ring?

[5] A: Yeah, within the outer guard ring.

[6] Q: So have you prepared some slides  
[7] first to show the jury what the outer  
guard ring [8] consist of?

[9] A: Yes, what we're talking about.

[10] Q: Can we see slide 101, please.

[11] A: Here you see the top view of the  
[12] outer guard ring. And the dark blue is  
the [13] indium tin oxide. So if you look  
down from the [14] top, you just see  
indium tin oxide, although [15] actually  
it's transparent, it's not blue.

[16] Q: When you say top down view,  
what [17] are you meaning?

[18] A: We're looking down from above  
the [19] glass at the structure as indicated  
by the mask [20] files, so if we take some of  
that off.

[21] Q: Next, please.

[22] A: Can I have the next, please. [23]  
Actually it just renders it sort of trans-  
parent, [24] the blue lines indicate that  
ITO is there, but

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[1] now you can see that there are two  
metals, the [2] red metal is the gate line or  
row line metal, [3] and the yellow metal is  
the source drain metal [4] or column line  
metal. So both of those are [5] present as  
well as the ITO that's sitting on top [6] of  
them.

[7] Q: And the next slide.

[8] A: And the ITO is used to connect the  
[9] source drain metal to the gate metal,  
and it's [10] done through all these  
contact holes because you [11] remem-  
ber we keep talking about insulators and  
[12] the gate lines normally has an insu-  
lator over [13] it, so it's insulated from  
the source drain [14] metal and the source  
drain metal has an [15] insulator over it  
before ITO comes down, but [16] when  
you want to connect these, you have to  
make [17] holes in the insulator. The  
green spots here [18] represent places  
where you have made holes in [19] the  
insulator so that you can connect one  
metal, [20] one conductor to the other.

[21] MS. CORBIN: Okay. So, and could [22]  
we go back to the first slide in that series?  
[23] Two back.

[24] BY MS. CORBIN:

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[1] Q: So, again, the blue, what is the [2]  
blue depicting?

[3] A: So the blue is the Indium tin [4]  
oxide that comes down last. And it  
connects [5] those two metals, the red  
metal and the yellow [6] metal. It con-  
nects the metals through the [7] contact  
holes.

[8] Q: Okay. And so, in your view, what [9]  
comprises the outer guard ring?

[10] A: The outer guard ring comprises  
the [11] layer of gate metal, the layer of  
source drain [12] metal, and the ITO.

[13] Q: And you read Dr. Schlam's [14]  
deposition. Did you find any places in  
that [15] deposition where Dr. Schlam  
agreed that the [16] outer guard ring was  
comprised of multiple metal [17] layers?

[18] A: Yes. He did say that at one [19]  
point.

[20] Q: And let's maybe start first with [21]  
the figure that he drew, and that is  
Defendants' [22] Exhibit 73.

[23] What did you understand him to be  
[24] depicting here as the outer — as the  
guard

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[1] ring?

[2] A: Well, this bracket shows that he [3]  
considers the outer guard ring to consist  
of [4] this line here which schematically  
represents [5] the source drain metal, the  
yellow metal in the [6] picture. And this  
green here, this metal here, [7] which

corresponds to the gate metal.

[8] And then he shows them connected  
[9] by resistance.

[10] Q: What is that?

[11] A: He attributes that resistance, [12]  
that's the ITO, that connection.

[13] Q: And then again — thank you. [14] In  
the deposition itself, did you [15] find  
other places where he referenced the  
outer [16] guard ring as consisting of  
multiple gate [17] levels?

[18] A: I think there was at least one [19]  
more place.

[20] MS. CORBIN: Could we have [21]  
transcript Page 21, please?

[22] THE WITNESS: Okay. I guess the first  
[23] part of the question is missing.

[24] But the answer is, Let me make

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[1] this schematic a little more accurate.  
As I [2] pointed out, there are — were two  
resistances, [3] two resistances in the  
actual structure. The [4] schematic I drew  
only represents one of them.

[5] So if you'll allow me, the guard [6] ring  
really is composed of two different  
metals [7] and there is a resistance, in  
addition to the [8] resistance of the shunt  
switching element, [9] namely the ITO  
that connects the two metals [10] to-  
gether in the guard ring, which is also a [11]  
resistance. So there are two sources of  
[12] resistance.

[13] There are two sources of [14] re-  
sistance. One of them being the resist-  
ance of [15] the silicon in the diodes and  
the other one [16] being the ITO that  
connects the two [17] metallizations that  
comprise the totality of the [18] guard  
ring.

[19] MS. CORBIN: And could we see [20]  
transcript Page 19, please?

[21] BY MS. CORBIN:

[22] Q: Is this another place that you [23]  
identified where Dr. Schlam was des-  
cribing what [24] comprised the outer  
guard ring?

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[1] A: Yes. [2] So the question is: So, the  
part, [3] just so I can be clear, when we are  
referring [4] now to Exhibit 14, Page 2 of  
Dr. Howard's [5] report, that schematic,  
you're agreeing that [6] it's an accurate  
schematic representation of the [7] spark  
gaps and the diodes, but you're saying [8]  
it's leaving out this ITO layer that you  
have [9] mentioned, which would be  
found in the outer [10] ring, which is  
shown here; right?

[11] Is that the ITO that you are [12]  
speaking of or not?

[13] Answer: The ITO I'm speaking of [14]  
is the ITO coupling the two metallic  
components [15] of the outer ring.



[16] BY MS. CORBIN:

[17] Q: I want to turn now to an [18] explanation about how the ITO and the guard ring [19] functions in CPT's products. And did you [20] prepare a series of slides to assist in that [21] discussion?

[22] A: Yes. Could I have the first one, [23] please?

[24] MS. CORBIN: 104.

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[1] THE WITNESS: Okay. We're going back [2] to these mask files. And see, this is an outer [3] ring, and many, many electrodes shown here. And [4] we zoom in on that.

[5] And you can see that all along [6] here, there are thousands, literally thousands, [7] 10,000 of these pairs of connections between the [8] two, the red metal and the yellow metal using [9] the ITO.

[10] Q: And this is — what is the [11] structure that we're seeing there where it has [12] the green circles?

[13] A: That's — that's the outer guard [14] ring.

[15] Q: Okay.

[16] MS. CORBIN: The next slide, [17] please.

[18] BY MS. CORBIN:

[19] Q: And what is the function of the [20] ITO jumpers in the outer guard ring?

[21] A: Well, the function is to connect [22] those two metals and to provide as much [23] connectivity as possible in the outer guard [24] ring. And also by connecting those two metals,

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[1] you allow these diodes to be properly made.

[2] Q: Okay. And when you use the term [3] ITO jumper, what does that mean?

[4] A: Well, it means, as was used [5] before, it's a conductive connection. And it's [6] intended to tie these two things together [7] electrically.

[8] Q: And, again, the Indium tin oxide, [9] what type of material is that?

[10] A: It's a conductor as we showed on [11] that chart.

[12] Q: Okay.

[13] MS. CORBIN: Next slide.

[14] BY MS. CORBIN:

[15] Q: Is the ITO jumpers — sorry. Are [16] the ITO jumpers resistances as defined by the [17] Court?

[18] A: No, they're not resistances as [19] defined by the Court.

[20] Q: Why not?

[21] A: Because they don't have a [22] specified resistance, and they don't serve to [23] minimize the current in an

electrostatic [24] discharge.

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[1] Q: And what is the intent of CPT when [2] it has 10,000 of these ITO jumpers as you've [3] described in one panel in the outer ring?

[4] A: Well, the thousands of connections [5] are designed to make the connection as good as [6] possible, that is with as low resistance as [7] possible, given the constraints of how much room [8] they have.

[9] Q: And when — what is the effect of [10] these ITO jumpers when you have, for example, [11] electrostatic discharge coming through the gate [12] lines?

[13] A: Well, the effect of tying the two [14] metals together and adding the ITO, which also [15] is carrying current all along the line, is to [16] provide a greater path for the electricity [17] that's coming out in electrostatic discharge, so [18] you want to provide as much conductance as [19] possible in the outer guard ring and you do that [20] with all the materials you have available which [21] is the gate metal, source drain metal and ITO, [22] so you pile them all up and connect them [23] together and that gives you the most conductance [24] that you can get.

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[1] Q: If CPT had intended to use the ITO [2] jumpers to minimize the current surge of [3] electrostatic discharge, how might it have [4] designed and used ITO jumpers?

[5] A: Well, if you were trying to [6] introduce a significant resistance, you would [7] only use two of these contact holes. You would [8] put one of them on one end, and the other would [9] be on the other end, the far end of the line, [10] and that way the current would have to flow all [11] the way down the ITO from one end of the line to [12] the other.

[13] Q: And when you're referring to that, [14] what are you referring to on the panel, not in [15] the picture, but on the panel, on the LCD panel?

[16] A: You're referring to the length of [17] the display or the width of the display. That's [18] the length of these guard rings, and so you [19] would have that long length of ITO making up —

[20] Q: And in that case, what would the [21] resistance as a property, not as defined by the [22] Court, but as a property mean?

[23] A: In the case of going all the way [24] down the line?

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[1] Q: Yes.

[2] A: I didn't calculate that.

[3] Q: But in relationship to any [4] re-

sistance as a property, not as defined by the [5] Court, of these holes as we see in the mask [6] file?

[7] A: Oh, it would be much higher.

[8] Q: So what is the implication —

[9] A: It would be thousands of times [10] higher, I know that much.

[11] Q: So what is the implication of [12] putting many of these holes in close [13] relationship to one another in the outer guard [14] ring?

[15] A: Well, the implication is to [16] minimize the resistance, that's the intent.

[17] Q: Now, could we see slide 108, [18] please.

[19] Have you actually calculated what [20] the resistance of the ITO jumpers is in the CPT [21] products in the outer guard ring?

[22] A: Well, yes, I took a couple of [23] examples and I used a handbook formula for [24] calculating the resistance between two circular

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[1] contacts, and that allowed me to estimate that [2] the total resistance between those two lines of [3] metal is much less than a tenth of an ohm.

[4] Q: And again, what was the resistance [5] described in the one embodiment that is in the [6] '002 patent?

[7] A: Yeah. The embodiment in the '002 [8] patent is a hundred thousand ohms. So this is [9] at least a million times smaller resistance than [10] what Mr. Holmberg was talking about.

[11] Q: And did you describe — did you [12] prepare a slide to show the difference in the [13] resistance of the '002 patent and the resistance [14] as claimed by Dr. Schlam in the ITO jumpers of [15] the CPT product?

[16] A: Yes.

[17] Q: Could we see slide '79.

[18] A: Yeah. This is just showing again [19] that there is quite a difference in the basic [20] structure as described by the '002 patent, you [21] have these inter-connected lines and you have [22] this coupling resistance, and over here in the [23] CPT design you have got coupling by diodes and [24] then out here you have got this really small

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[1] resistance, you know, in this case this is a [2] hundred thousand ohms, you got this tiny [3] resistance somewhere in the structure of the [4] outer guard ring.

[5] Q: Now, where is the resistance [6] physically located as spelled out in the '002 [7] patent and depicted in your chart on the left?



has some [14] level of resistance, everything has some level [15] of conductivity, except for superconductors [16] which have no resistance.

[17] Q: And does CPT utilize [18] superconductors in the diodes?

[19] A: No. No one was figured out how to [20] get superconductors into the displays, [21] unfortunately; maybe some day.

[22] Q: So just to illustrate that point [23] that the resistance is found in every substance, [24] can we just — can you just describe for us say

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[1] in the gate line, what is the resistance of the [2] gate lines that we have been talking about?

[3] A: The resistance of a typical gate [4] line is in the kilohm range. Obviously it [5] depends on the size of the display and the [6] design and width of the lines, et cetera, the [7] thickness, but you know, my experience typically [8] they operate around a 10,000 ohm level for [9] reasons that we don't need to get into.

[10] Q: So the gate lines themselves would [11] have a 10,000 ohm level. How does that relate [12] to the .1 ohm resistance that you described in [13] the ITO jumpers?

[14] A: Well, that's obviously much [15] higher, 10,000 compared to a 10th of an ohm is a [16] pretty slight resistance, a thousand compared to [17] a tenth of an ohm.

[18] Q: The way in which the ITO jumpers [19] are used in CPT products where you have 10,000, [20] for example, of those in one outer guard ring, [21] what is the effect of that on current flow in [22] the event of electrostatic discharge?

[23] A: Well, it would have essentially no [24] effect. It would be a negligible affect in

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[1] comparison to these other resistors that are [2] present.

[3] Q: Could we see slide 111, please. [4] When people use ITO in the LCD [5] industry in the manner in which CPT has used [6] that, how does that comport with resistance as [7] defined by the Court?

[8] A: Well, I don't know of any case in [9] the LCD industry where ITO is used to minimize [10] the current from electrostatic discharge. ITO [11] is generally used to provide as much conductance [12] as possible.

[13] Q: I would like to see slide 120, [14] please.

[15] So based on the discussion that [16] we've just had, is it your opinion — strike

[17] that.

[18] Do any of CPT's products contain [19] the resistance of step four of Claim 1?

[20] A: No, they don't.

[21] Q: And could you summarize for us the [22] reasons why you say that?

[23] A: Well, first of all, in terms of [24] this part four here, they don't have the three

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[1] distinct structures. The diodes don't [2] constitute a resistance under the Court's [3] definition because they don't have a specified [4] resistance. And both the diode and the ITO [5] actually serve to maximize current from ESD and [6] not minimize it.

[7] Q: And then if we could quickly look [8] at step five, which is removing said guard ring [9] and row and column interconnections prior to [10] completion of the display. In your view, do any [11] of CPT's manufacturing methodologies that [12] produce the accused products here do that step?

[13] A: They do not.

[14] Q: Why not?

[15] A: Because they don't have the [16] interconnections.

[17] Q: So in summary, then, in looking at [18] the totality of Claim 1, slide 121, can you [19] summarize for us why you opine that CPT's [20] products do not infringe Claim 1?

[21] A: Because they don't provide the [22] interconnecting as required by the definition. [23] And in this portion they don't have the [24] interconnection, therefore, they can't do

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[1] everything, but they also don't have a [2] resistance that conforms to the Court's [3] definition. And again, this is not valid [4] because there are no interconnections. So as a [5] result, none of these is met.

[6] Q: Okay. So I want to talk about [7] Claim 8 now. Is Claim 8 a dependent or [8] independent claim?

[9] A: Claim 8 is a dependent claim.

[10] Q: And what does it mean to be a [11] dependent claim?

[12] A: It means that it contains the [13] elements of the claim from which it depends.

[14] Q: Could I please have slide 121 [15] back, please.

[16] And what claim does Claim 8 depend [17] from?

[18] A: Claim 8 depends from Claim 1.

[19] Q: And so does it include all the [20] limitations that we have just gone through for [21] Claim 1?

[22] A: That's the meaning of it, it [23] includes all these limitations and then adds [24] additional ones.

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[1] Q: So what is your opinion with [2] respect to whether any of CPT's products that [3] are accused here infringe Claim 8?

[4] A: Well, if they don't infringe Claim [5] 1, they cannot infringe Claim 8. So that's very [6] simple.

[7] Q: What is your opinion about —

[8] A: So, therefore, they do not [9] infringe Claim 8.

[10] Q: So if I have understood, we have [11] gone through all the reasons why CPT does not [12] infringe Claim 1 or Claim 8, and I want to [13] switch gears here for a minute and ask you, [14] Dr. Howard, were you asked to consider whether [15] noninfringing alternatives exist to the '002 [16] patent?

[17] A: Yes, I was.

[18] Q: And can you tell us what are [19] noninfringing alternatives, what that term [20] means?

[21] A: Well, a noninfringing alternative [22] is a way of making the product that would not [23] infringe the '002 patent.

[24] Q: And are there any non-infringing

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[1] alternatives that you are aware of?

[2] A: Well, I mean, one of them is the [3] CPT structure, which I just said doesn't [4] infringe.

[5] Q: And in addition to that, are there [6] any other non-infringing methods of which you [7] are aware?

[8] A: Yes. I'm aware of other [9] alternatives.

[10] Q: And could you give us an example [11] of one of those?

[12] A: Well, one example is use of what's [13] called chip on glass technology.

[14] Q: And can you explain what that is?

[15] A: Yes. All these displays have to [16] be connected to the outside world eventually. [17] And typically that's done on the edge of the [18] panel with a flexible connector.

[19] And the flexible connector carries [20] on it a silicon chip that provides the voltages [21] to each of the lines to which it's connected in [22] accordance with the — what the computer is [23] sending out as a picture.

[24] And in chip on glass, those chips

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[1] that normally would be on the flex are placed [2] directly onto the glass. And so you have a [3] smaller number of connects that have to be made [4] to the glass

of the [7] invention is to provide protection from [8] electrostatic discharges between said row and [9] column activation lines during manufacture of [10] the displays and thereafter?

[11] A: Text on page three. When the [12] assembly of the active matrix is completed with [13] connections being made into peripheral circuits [14] and the like it's preferable to connect wiring [15] A, which is that ring, to a ground potential as [16] well. The protection circuit according to the [17] present invention will then work not only [18] against electrostatic charges, but also against [19] surges that enter through the peripheral [20] circuits.

[21] So this is describing how this is [22] to be used even after you have the peripheral [23] circuits on there, which means after [24] manufacture.

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[1] Q: And then the last one is the [2] Oritsuke reference. We could look at slide 157.

[3] What is the Oritsuke reference?

[4] A: Once more it's a Japanese patent [5] application publication dated January 1988.

[6] Q: Are you surprised that so many of [7] these references are Japanese?

[8] A: Not really, not having worked with [9] the Japanese during that period, that's where [10] the action was, really.

[11] Q: And in terms of prior art, do [12] foreign references from countries other than the [13] U.S. constitute prior art?

[14] A: Oh, yes. Yes. References from [15] anywhere in the world can constitute prior art.

[16] Q: If we could go back to slide five. [17] And if we could zoom in on the middle portion of [18] that document there. Do you see where it says, [19] "Foreign Patent Documents"?

[20] A: Yes.

[21] Q: Were any foreign patent documents [22] considered by the examiner when he was [23] considering whether or not to issue the claims [24] of the '002 patent?

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[1] A: It appears not.

[2] Q: I'm sorry, going back to 157. [3] And what does the Oritsuke [4] reference teach?

[5] A: Well, again, it teaches a method [6] to provide a flat panel display that protects [7] active components from electrostatic destruction [8] during and after manufacture, and this is [9] accomplished by forming an inner guard ring [10] coupled to row and column lines via diodes.

[11] Q: And do we see that inner ring on [12] that figure from Oritsuke there?

[13] A: Yes, that's shown there in yellow, [14] the inner ring. And then the diodes are shown [15] in I guess red coupling each line, so each row [16] and each column to that ring through diodes.

[17] Q: And what's the significance of the [18] elements that have been highlighted in blue?

[19] A: Well, the significance of that is [20] that this reference to the external circuitry, [21] and so this indicates that this is intended for [22] protection after the display has been completed [23] and has its external circuitry.

[24] Q: And you have prepared a chart on

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[1] Oritsuke as well?

[2] A: Yes.

[3] Q: 159. [4] And other than in the Figure 5 we [5] have just shown, was there other support for the [6] formation of an inner electrostatic discharge [7] guard ring on the substrate?

[8] A: Figure 1, page seven, so here [9] again the red indicates inner ring.

[10] Q: Can you also see the inner ring [11] coupled to said row and column lines via shunt [12] switching elements?

[13] A: Yes. Again, here the blue is [14] highlighting the shunt switching elements [15] coupling each line, rows and columns to the [16] inner ring.

[17] Q: What are those switching elements?

[18] A: They're transistors.

[19] Q: And finally to provide protection [20] from electrostatic discharge between said row [21] and column activation lines during manufacture [22] of the displays and thereafter, how do you know [23] that this is intended to be providing protection [24] from electrostatic discharge?

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[1] A: The electrostatic discharge —

[2] Q: I think in your chart, could you [3] go back to 159. It indicates pages four and [4] five.

[5] A: Right.

[6] Q: Page four, please.

[7] A: Yeah. This indicates the object [8] of the present invention is to provide a flat [9] display that protects active components from [10] electrostatic destruction, so that's a [11] protection element.

[12] Q: How do you know that it's [13] intended, that ring, to remain after [14] manufacture?

[15] A: Because it talks about the problem

[16] with displays as described above is destruction [17] or the deterioration in the performance of [18] active components by static electricity created [19] during the manufacturing process or during [20] installation to or removal from a panel. So [21] that means after the manufacturing process.

[22] Q: Okay. Have we now walked through [23] each of the four references that form the basis [24] of your conclusion that Claim 8 is obvious?

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[1] A: Yes.

[2] Q: I want to turn to the fact that [3] you understand, Dr. Howard, that Claim 8 [4] includes all the limitations of Claim 1, plus [5] the additional limitations found in Claim 8?

[6] A: Yes, I do.

[7] Q: So do you understand, how many [8] rings are found within Claim 8?

[9] A: Within Claim 8 there are two [10] rings.

[11] Q: And what are those rings?

[12] A: The outer guard ring and the inner [13] guard ring.

[14] Q: And do you find the outer guard [15] ring and the inner guard ring in any of the four [16] — together in any of the four references that [17] we've just discussed?

[18] A: Not together.

[19] Q: And how is it, then, that you are [20] able to conclude that nevertheless the [21] combination of these four references, the [22] Kawamura and Okawa reference that had the outer [23] guard rings and the Yudasaka and the Oritsuke [24] that had the inner guard rings, render this

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[1] claim obvious?

[2] A: Well, because one of ordinary [3] skill in the art working at that time, if [4] presented with these inventions, would recognize [5] that each offered benefit, and they could [6] clearly have been combined. And since there was [7] so much concern about yield and perfection of [8] displays, it would be, to me, obvious to one of [9] those people to combine them.

[10] Q: And when you say that there was so [11] much concern about the perfection of these [12] displays, what do you mean?

[13] A: Well, it's even pointed out in the [14] '002 patent that, you know, one — one — damage [15] to — one damaged element can mean the [16] destruction of the whole display in a display [17] like this.

[18] Q: When you say "damaged element"



[19] you're referring to one pixel or one picture [20] element?

[21] A: The one-pixel element, or even [22] worse if you have a damaged intersection.

[23] Q: Okay. And what was the nature of [24] the problem to be solved by the Kawamura and

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[1] Okawa references that teach the outer guard [2] ring?

[3] A: The nature of the problems we [4] solved was this damage from electrostatic [5] discharge.

[6] Q: And what was the nature of the [7] problem to be solved by the Yudasaka and [8] Oritsuke references, which teach about the inner [9] guard ring?

[10] A: The same problem.

[11] Q: And what was the time period where [12] people of ordinary skill in the art, again, now [13] prior to the '002 invention, recognition of what [14] time period you should protect against ESD [15] protection?

[16] A: Could you go through that again?

[17] Q: Yes. [18] Was there knowledge of those of [19] skill in the art that you needed to protect [20] against ESD during manufacturing?

[21] A: Yes.

[22] Q: And did we see that in the [23] references that we just discussed?

[24] A: Yes. All those different

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[1] references have their recognition as prior to [2] that.

[3] Q: And was there recognition in these [4] references and acknowledged by those of skill in [5] the art that there was a need to protect against [6] electrostatic discharge after manufacturing?

[7] A: Well, certainly some of the [8] references recognized that. I'm not sure [9] whether everyone recognized that, but we have [10] two references that recognized that.

[11] Q: And in this field of active-matrix [12] displays, given the problems that you've [13] discussed of one damaged element causing you to [14] discard the entire display, what would be — [15] what would provide the motivation for one of [16] ordinary skill to combine these references?

[17] A: Well, if you have such a severe [18] problem and people are offering two different [19] approaches to the problem, and it's clear that [20] you could combine them, then I think an engineer [21] who's being measured on how many defects are [22] coming out of the line might be motivated to [23] combine them.

[24] Q: Were there other redundancy

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[1] techniques that you're aware of, in the [2] active-matrix display area, that were added to [3] protect the components of the display?

[4] A: Well, yes. In fact, in the '002 [5] patent, there's a discussion of redundancy [6] techniques to make the appearance of the display [7] be more resistant to presence of some defects.

[8] Q: And when you add the outer ring to [9] the inner ring, is there any additional variable [10] cost involved in the manufacture of an active [11] matrix display that has both rings?

[12] A: No. There's not necessarily any [13] additional costs.

[14] I mean, the same process. It's [15] just changing the masks basically.

[16] Q: And once changed, the mask, as you [17] manufacture that product, is there anymore [18] variable cost in producing an active-matrix [19] display with two rings as opposed to one that [20] only had one outer — either the outer ring [21] alone or the inner ring alone?

[22] A: No. When you process a plate [23] through one of these lines, it's costing you so [24] much to process it. And it's independent of the

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[1] pattern.

[2] If you put it through there, and [3] all you put on there is your name or something, [4] it's going to cost the same amount of money.

[5] Q: When you — you mentioned these [6] mask steps, and are those mask steps used to [7] form the gate lines and source lines that are [8] part of the — of Claim 1?

[9] A: The masks. Yes, those are the [10] ones that we went through in the mask files.

[11] Q: And they're also used to form the [12] diodes that couple those lines —

[13] A: Yes.

[14] Q: — to the outer guard ring?

[15] A: Yeah. All —

[16] Q: Sorry.

[17] A: All the steps that we showed in [18] the mask files.

[19] Q: And those same — are those same [20] mask steps used to form the outer ring and the [21] inner ring?

[22] A: Yes.

[23] Q: Are any additional mask steps [24] involved?

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[1] A: No.

[2] Q: In your opinion, when one of [3]

ordinary skill in the art, prior to the time of [4] the '002 invention, and let me step back.

[5] How are you familiar with what one [6] of ordinary skill, as defined by you, would have [7] known prior to the time of the '002 invention?

[8] A: Well, I was working there at that [9] — in those years, working on the subject, and [10] certainly had a lot of contact with the people [11] that would fit that definition of one of [12] ordinary skill in the art.

[13] Q: And as they were working on [14] active-matrix displays?

[15] A: Yes.

[16] Q: Okay. So in your view, would one [17] of ordinary skill in the art prior to the time [18] of the '002 invention, who had before him the [19] four references you've discussed, the Kawamura, [20] Okawa, Yudasaka and Oritsuke references, need to [21] do any experimentation whatsoever in order to [22] combine an inner ring and an outer ring in one [23] display?

[24] A: No.

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[1] Q: Would it require any inventive [2] contribution on that person's part?

[3] A: No. And in fact, if they — if [4] someone thought it was an invention, I would [5] explain to him that it wasn't.

[6] Q: Did the combination of the inner [7] and outer rings lead to any surprise or [8] unexpected results in your mind?

[9] A: No. That's another point.

[10] Q: Do you understand what the term [11] hindsight means when it's talked about in [12] connection with a validity analysis?

[13] A: Yes.

[14] Q: What does it mean?

[15] A: Well, it means I shouldn't be [16] applying knowledge that I've gained, let's say, [17] in recent years to what might have been going on [18] back then.

[19] Q: And are you doing that in forming [20] your opinion?

[21] A: No, because I was there.

[22] Q: So are you considering only what [23] one of ordinary skill knew prior to the time of [24] the '002 invention?

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[1] A: Yes.

[2] Q: And again, you reviewed [3] Dr. Schlam's deposition?

[4] A: Yes.

[5] Q: And was there anything in that [6] deposition that led you to believe that he [7] agreed with you that the combination of this [8] inner and outer guard ring was obvious?



[9] A: Yes. He did make comments to that [10] effect.

[11] Q: And did you identify the portion [12] of the transcript —

[13] A: Yes.

[14] Q: — that you thought supported that [15] view?

[16] A: Yes, I did, [17] MS. CORBIN: Can we have the slam [18] clip, please? Dr. Schlam's clip?

[19] (Beginning of videotape excerpt.)

[20] Q: So you are aware — do these test [21] results form part of the basis of your opinion [22] that the combination of the inner ring and the [23] outer ring together provide more ESD protection [24] than the inner ring alone?

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[1] A: Not necessarily test results. [2] It's something that's quite obvious in the world [3] of electronics. And again, the best thing I can [4] do is come up with analogies, raincoat and [5] umbrella.

[6] (Conclusion of videotape excerpt.)

[7] BY MS. CORBIN:

[8] Q: Do you recall — what else did [9] Dr. Schlam have to say about the raincoat and [10] the umbrella.

[11] A: I think he explained that analogy [12] earlier that if it's raining and someone offers [13] you two forms of protection, you might take an [14] umbrella. You might use a raincoat.

[15] But if you're really concerned [16] about getting wet, it would be obvious that [17] you'd use both.

[18] Q: And how does that apply to whether [19] it would have been obvious to one of ordinary [20] skill in the art to combine the inner ring and [21] the outer ring in the four references that we've [22] discussed.

[23] A: Well, you can think of the outer [24] ring as the umbrella and the inner as the

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[1] raincoat. They both provide protection in a [2] slightly different way.

[3] That's complimentary. One is [4] during manufacture, one is after manufacture.

[5] The outer ring can be made to [6] provide protection at a lower voltage than would [7] be acceptable for an inner ring. So that's the [8] complimentary aspect.

[9] Q: And in your view, does that fact [10] provide motivation to one of ordinary skill to [11] combine these references?

[12] A: Yes.

[13] Q: Okay. In conclusion, then, Dr. [14] Howard, can you just sum up for us, again, what [15] is your opinion with respect to whether any one [16] of CPT's

products infringe Claims 1 and 8 of the [17] '002 patent?

[18] A: Well, I guess the way to say it is [19] if Claim 1 is stretched to be — cover the — I [20] guess, I don't want to say that.

[21] Q: Let me ask the question. I'm [22] asking you about infringement right now.

[23] A: Yeah, I was getting —

[24] Q: I know we've been going at this a

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[1] long time.

[2] A: Invalidity infringement.

[3] Q: So I'm asking you right now —

[4] A: In terms of invalidity, I think I [5] said it earlier that the — on one hand, you've [6] got the references of Oritsuke and Yudasaka for [7] the inner ring, and the outer ring references of [8] Okawa and Kawamura.

[9] So it's obvious to combine those. [10] And if it's obvious, then it's invalid.

[11] Q: Okay. And with respect to Claim [12] 1, then, what is your view about the obviousness [13] of Claim 1?

[14] A: Well, again, if Claim 1 is [15] determined to cover diodes, then it's not really [16] obvious that it's anticipated by the prior art.

[17] Q: And you do understand that that is [18] LPL's contention that the resistance, the diodes [19] are the resistance?

[20] A: Yes.

[21] Q: So that's validity. [22] Turning, again, to infringement. [23] What is your opinion as to whether any of CPT's [24] products infringe Claims 1 and 8 of the '002

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[1] patent?

[2] A: None of them infringe.

[3] MS. CORBIN: I have no further [4] questions. Thank you, Dr. Howard.

[5] Your Honor, at this time, I'd like [6] to move into evidence Defendants' Exhibit 6, 1, [7] 73, 2, 3, 4, 5, 6, 9, and 38 and 37.

[8] THE COURT: All right. They're [9] admitted subject to later objection.

[10] MS. CORBIN: And also Exhibit 8, [11] Your Honor, sorry, I would like to move that, [12] Exhibit 8 also into evidence.

[13] THE COURT: All right. It will be [14] admitted.

[15] CROSS-EXAMINATION

[16] BY MR. GOODWYN:

[17] Q: Hello, Dr. Howard. [18] I believe you testified at the [19] beginning of your direct examination that you [20] have been retained by Chunghwa; is that correct?

[21] A: CPT, right.

[22] Q: And are you being paid for your [23] testimony today?

[24] A: Yes, I am.

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[1] Q: How much are you being paid?

[2] A: I'm being paid for my time at a [3] rate of \$250 an hour.

[4] Q: How many hours have you worked for [5] Chunghwa or any of the defendants since you have [6] been retained?

[7] A: I would have to estimate, I [8] haven't totaled it up recently, but I have to [9] estimate about 200 hours.

[10] Q: Does that include the time that [11] you spent preparing for trial over these last [12] couple of weeks?

[13] A: Yes.

[14] Q: Now, you spent quite a bit of time [15] this morning discussing both validity and [16] infringement; is that right?

[17] A: Yes.

[18] Q: And you understand that those are [19] two separate analyses; right?

[20] A: I do.

[21] Q: And so let's — to try to avoid [22] confusion, let's just take each of those [23] analyses one at a time and we'll try to step [24] through those and we'll apply the Court's claim

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[1] construction to both of those analyses. Okay?

[2] Let's look at Howard 32. [3] Actually let me try Howard 21, [4] this might speed things through. Howard 21. [5] How about 121. Sorry about that.

[6] Okay. Here we go. Would you [7] agree with me, Dr. Howard, that the three [8] limitations that you argued are not met really [9] boils down to interconnecting and resistance?

[10] A: Well, the interconnecting is [11] appearing in several places, so I don't know if [12] — if you're just saying those interconnecting [13] and resistance themselves, we've also outlined [14] here the interconnecting affects the others, [15] too.

[16] Q: In the element forming an outer [17] electrostatic discharge guard ring, [18] interconnected row and columns refers back up to [19] the step before, interconnecting —

[20] A: That's correct.

[21] Q: And then the last one, [22] interconnections again refers back up to [23] interconnecting?

[24] A: That's correct.

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[1] Q: You got interconnecting and [2] resistance in red, so those are really the